Boundary-layer-separation-driven vortex shedding beneath internal solitary waves of depression

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We investigate global instability and vortex shedding in the separated laminar boundary layer beneath internal solitary waves (ISWs) of depression in a two-layer stratified fluid by performing high-resolution two-dimensional direct numerical simulations. The simulations were conducted with waves propagating over a flat bottom and shoaling over relatively mild \((S = 0.05)\) and steep \((S = 0.1)\) slopes. Over a flat bottom, the potential for vortex shedding is shown to be directly dependent on wave amplitude, for a particular stratification, owing to increase of the adverse pressure gradient \((dP/dx > 0\) for leftward propagating waves) beneath the trailing edge of larger amplitude waves. The generated eddies can ascend from the bottom boundary to as high as 33\% of the total depth in two-dimensional simulations. Over sloping boundaries, global instability occurs beneath all waves as they steepen. For the slopes considered, vortex shedding begins before wave breaking and the vortices, shed from the bottom boundary, can reach the pycnocline, modifying the wave breaking mechanism. Combining the results over flat and sloping boundaries, a unified criterion for vortex shedding in arbitrary two-layer continuous stratifications is proposed, which depends on the momentum-thickness Reynolds number and the non-dimensionalized ISW-induced pressure gradient at the point of separation. The criterion is generalized to a form that may be readily computed from field data and compared to published laboratory experiments and field observations. During vortex shedding events, the bed shear stress, vertical velocity and near-bed Reynolds stress were elevated, in agreement with laboratory observations during re-suspension events, indicating that boundary layer instability is an important mechanism leading to sediment re-suspension.

Key words: boundary layer stability, internal waves, ocean processes

1. Introduction

Internal solitary waves (ISWs) are ubiquitous in stratified lakes and oceans (e.g. Helfrich & Melville 2006; Jackson 2007; Shroyer, Moum & Nash 2009). Localized

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occurrence of bed sediment re-suspension has been attributed to their passage in the field (e.g. Bogucki, Dickey & Redekopp 1997; Klymak & Moun 2003; Butman et al. 2006; Quaresma et al. 2007; Reeder, Ma & Yang 2011) and in the laboratory (e.g. Boegman & Ivey 2009). Re-suspension is believed to occur due to the instability of the separated ISW-induced bottom boundary layer in the adverse-pressure-gradient region beneath the wave (e.g. Bogucki & Redekopp 1999; Bogucki, Redekopp & Barth 2005; Carr & Davies 2006; Stastna & Lamb 2008).

The instability is global, as opposed to local, in that there is a streamwise dependence in the base-state velocity profile (Huerre 2000). The streamwise variability results from the presence of a separation bubble (region of re-circulating fluid), confined between points of boundary layer separation and reattachment. The length scale of streamwise variation of the base-state velocity profile associated with the separation bubble is long compared to the wavelength of the resulting global instability (Huerre 2000). This instability is spontaneously excited, i.e. it does not require any external noise. For a sufficiently strong adverse pressure gradient, the vorticity in the ISW-induced boundary layer is rolled up into coherent vortices that are quasi-periodically shed into the water column (Diamessis & Redekopp 2006; Stastna & Lamb 2008). Over sloping topography, the vortices interact with the pycnocline of the shoaling wave modifying the breaking mechanism (e.g. Sveen et al. 2002; Aghsaee, Boegman & Lamb 2010).

There has been considerable effort applied to developing a predictive criterion for global instability and associated re-suspension in geophysical flows. Global instability beneath ISWs of elevation, over a flat bottom and in the presence of a background shear flow, is dependent upon the background flow characteristics, wave amplitude and Reynolds number (Bogucki & Redekopp 1999; Stastna & Lamb 2002, 2008). Diamessis & Redekopp (2006), for their particular stratification profile, proposed a stability boundary, for both ISWs of elevation and depression without a background current, that was a function of wave amplitude \(a/H\) and ISW Reynolds number \(Re_w = c_0 H/\nu\), where \(H\) is the total water column depth, \(c_0\) is the linear wave phase speed and \(\nu\) is the kinematic viscosity. However, their proposed stability boundary is inconsistent with recent laboratory findings (Carr, Davies & Shivaram 2008); \(c_0\) depends only on the stratification and \(H\) is a general length scale that has no knowledge of the wave amplitude and wave length. On the other hand the momentum-thickness Reynolds number at the separation point \(Re_{\theta_{sep}} = U\theta_{sep}/\nu\) has been used as an appropriate parameter in studying boundary layer instability in aerodynamics (e.g. Gaster 1969; Pauley, Moin & Reynolds 1990). Here

\[
\theta_{sep} = \int_0^{\phi(U)} \frac{u/U(1-u/U)}{dz}
\]

is momentum thickness and \(u(z)\) and \(U\) are horizontal velocity and inviscid horizontal velocity (as if there were a free-slip bottom boundary, thus no separation; Gaster 1969) at the separation point. Moreover, the stability boundary proposed by Diamessis & Redekopp (2006) cannot be generalized to different stratification profiles because different waves, with equal \(a/H\) but different wavelengths (and perhaps different pressure gradients), can have the same \(Re_w\) (Stastna & Lamb 2008). The above-mentioned studies investigate global instability associated with laminar boundary layers where the adverse pressure gradient beneath ISWs will always lead to flow separation (e.g. Carr & Davies 2006). The existence of a turbulent bottom boundary layer, as is commonly observed in lakes and oceans (e.g. Lemckert et al. 2004;
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Nimmo Smith, Katz & Osborn (2005) will add more complexity to the problem and is not addressed here. Turbulent boundary layers are more resistant than laminar ones to flow separation in an adverse-pressure-gradient region and require stronger pressure gradients for flow separation to occur (e.g. Wang, Bogucki & Redekopp 2001).

The ability of transient vortices, associated with shoaling ISWs of depression, to re-suspend bed material has been studied in the lab and correlated to the wave-coherent vortex-induced Reynolds stress above the viscous sub-layer. The vortex applies a sediment mobilizing shear stress and sediment re-suspending vertical velocity (Boegman & Ivey 2009). Re-suspension associated with solitary waves of elevation, with trapped cores, has also been observed in the field, where it is associated with the vortex inside the trapped core (Hosegood & van Haren 2004; Bonnin et al. 2006).

The objective of the present study is to investigate the laminar boundary layer instability mechanism beneath ISWs of depression over both flat and sloping topography. Both types of topographies are considered because the adverse pressure gradient will respond to changes in stratification and wave amplitude over a flat bottom and to changes in lower-layer thickness over sloping topography. We seek to formulate a criterion for predicting the occurrence of global instability and investigate the potential for the unstable flow to re-suspend bed material. Background theory is given in § 2, followed by a description of the two-dimensional (2D) numerical model used in this study in § 3. In § 4 we present our results and introduce a threshold for vortex shedding based on the gradual evolution of the separation bubble. We introduce a shedding criterion and discuss the possibility of bed-sediment re-suspension associated with the boundary layer instability in § 5. Summary and conclusions are presented in § 6.

2. Theoretical background

The generation and propagation of weakly nonlinear dispersive ISWs is theoretically described by the Korteweg–de Vries (KdV) equation

\[ \eta_t + c_0 \eta_x + \alpha \eta \eta_x + \beta \eta_{xxx} = 0, \]  
(2.1)

where \( \eta(x, t) \) is the vertical displacement of the pycnocline (e.g. Apel 2002). For a two-layer stratified fluid, with a thin pycnocline relative to the total depth, the nonlinearity and dispersion coefficients (\( \alpha \) and \( \beta \), respectively) and the linear wave phase speed (\( c_0 \)) are

\[ \alpha = \frac{3c_0 h_1 - h_2}{2 h_1 h_2}, \quad \beta = \frac{c_0}{6 h_1 h_2}, \]  
(2.2)

\[ c_0 = \sqrt{\frac{g' h_1 h_2}{h_1 + h_2}} \]  
(2.3)

(e.g. Djordjevic & Redekopp 1978). Here \( h_1 \) and \( h_2 \) are the upper layer (fluid with density \( \rho_1 \)) and lower layer (fluid with density \( \rho_2 \)) thicknesses, respectively, and \( g' = g(\rho_2 - \rho_1)/\rho_2 \) is the reduced gravity under the Boussinesq approximation. A two-layer stratification is typically observed in lakes where \( h_1 < h_2 \). In the ocean, the stratification is more complex; however, a surface mixed layer overlying a deeper stratified lower layer is often observed (e.g. Moum et al. 2003; Warn-Varnas et al. 2007; Shroyer et al. 2009) and modelled as a two-layer stratification (e.g. Liu et al. 1998). Most of the observed ISWs in the ocean are waves of depression (negative wave amplitude \( a \); figure 1), which for a two-layer fluid occurs when \( h_1 < h_2 \). Several
observations of waves of elevation have also been made on the shelf (e.g. Klymak & Moum 2003; Orr & Mignerey 2003). The characteristic horizontal length scale associated with a KdV wave is \( \lambda = \sqrt{12\beta/\alpha a} \), which decreases with increasing \(|a|\) for a given stratification. However, by retaining only the first-order nonlinear terms, the KdV equation is only valid for ISWs where \(|a| < (h_2 - h_1)/2\) (Lamb & Wan 1998). As \(|a|\) becomes larger, the displaced interface gets close to the mid-depth (i.e. \(|a| \to (h_2 - h_1)/2\)), and solutions of the fully nonlinear equations under the Boussinesq approximation show that waves broaden horizontally (e.g. Lamb & Wan 1998; Helfrich & Melville 2006), generating broad-crested ISWs that deviate significantly from the \( \text{sech}^2 \) KdV wave profile. This limits the maximum possible wave amplitude \(|a|_{\text{max}} \to (h_2 - h_1)/2\). To consider both broad-crested and KdV waves, in this study, we apply a more general horizontal wavelength scale (e.g. Koop & Butler 1981; Michallet & Ivey 1999)

\[
L_w = \frac{1}{a} \int_{-\infty}^{+\infty} \eta(x) \, dx,
\]

where \( a = \eta_{\text{min}}(x) \). Flow visualization suggests that the observed wavelength \( L \sim 2L_w \) for narrow-crested ISWs where \(|a| < (h_2 - h_1)/2\) (Aghsaee et al. 2010). \( L_w \) is important in determining the boundary layer thickness \( \delta \sim \sqrt{\nu L_w / c} \) beneath the ISW trough (Helfrich & Melville 1986) where \( c \) is the ISW propagation speed.

The velocity field associated with a typical ISW of depression travelling from right to left (figure 1) shows that the horizontal wave-induced velocity varies from its maximum value beneath the wave trough to zero behind the wave. Hence there is always a decelerating adverse-pressure-gradient region underneath the rear half of the wave. The pressure gradient is difficult to measure observationally, and is modelled to balance advection using the steady inviscid momentum equation in a reference frame moving with the wave

\[
-\frac{1}{\rho} \frac{dP}{dx} = (U + c) \frac{dU}{dx},
\]
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which is valid at the edge of the boundary layer, where $U$ and $P$ are the free-stream horizontal velocity and pressure. For a given stratification, a larger amplitude wave (more nonlinear) has both a greater wave-induced lower-layer velocity beneath its trough

$$U_2 = \frac{-ca}{h_2 - a}$$

(Ostrovsky & Stepanyants 2005) and a steeper wave front (Aghsae et al. 2010), and as a result will exhibit stronger flow separation.

Flow separation always occurs in laminar boundary layers under ISWs of depression (Wang et al. 2001; Carr & Davies 2006), where the distribution of free-stream velocity $U(x)$ is concave downward behind its maximum ($\frac{d^2U}{dx^2} < 0$; Schlichting 1979). However, turbulent boundary layers are capable of stabilizing much stronger pressure gradients than laminar ones (Schlichting 1979), and for a particular stratification the ISW amplitude should exceed a critical value for separation to occur in an ISW-driven bottom boundary layer that is already turbulent (Wang et al. 2001).

3. Methods: numerical model and simulation parameters

A two-dimensional nonlinear non-hydrostatic computational fluid dynamics model (Lamb & Nguyen 2009) was applied to numerically simulate ISWs at laboratory scale. The model solves the incompressible Navier–Stokes equations, using a $\sigma$-layer coordinate system, for a Newtonian fluid with the Boussinesq approximation:

$$\frac{\partial u}{\partial t} + u \frac{\partial u}{\partial x} + w \frac{\partial u}{\partial z} = -\frac{1}{\rho_0} \frac{\partial p}{\partial x} + \nu \nabla^2 u,$$

(3.1)

$$\frac{\partial w}{\partial t} + u \frac{\partial w}{\partial x} + w \frac{\partial w}{\partial z} = -\frac{1}{\rho_0} \frac{\partial p}{\partial z} - \frac{\rho g}{\rho_0} + \nu \nabla^2 w,$$

(3.2)

$$\frac{\partial \rho}{\partial t} + u \frac{\partial \rho}{\partial x} + w \frac{\partial \rho}{\partial z} = k \nabla^2 \rho,$$

(3.3)

$$\frac{\partial u}{\partial x} + \frac{\partial w}{\partial z} = 0,$$

(3.4)

where $(x, z)$ are the horizontal and vertical coordinates and $(u, w)$ are the associated velocity vectors, $P$ and $\rho$ are pressure and density, respectively, $\rho_0 = (\rho_1 + \rho_2)/2$ is a reference density, and $k$ is the molecular diffusivity. A no-slip boundary condition was applied along the bottom and two end walls and a free-slip condition was applied at the surface rigid lid (Lamb & Nguyen 2009). A no-flux boundary condition was used for the density field on all boundaries. A tanh density profile

$$\tilde{\rho}(z) = \frac{\rho_1 + \rho_2}{2} - \frac{\rho_2 - \rho_1}{2} \tanh \left( \frac{z - z_{pyc}}{d_{pyc}} \right)$$

(3.5)

approximates a laboratory generated two-layer stratified fluid (e.g. Boegman & Ivey 2009). Here, $z$ varies between 0 at the surface and $-H$ at the bottom and $z_{pyc}$ and $d_{pyc}$ determine the location and half-thickness of the pycnocline, respectively.

The model is initialized with an ISW obtained by solving the Dubreil–Jacotin–Long (DJL) equation, expressed in terms of streamline displacement (Lamb 2002, his equation 6). The total depth of the domain, $H = 0.15$ m, matches conditions from previous laboratory experiments (Michallet & Ivey 1999) with $\rho_1 = 1000$ kg m$^{-3}$ and $\rho_2 = 1040$ kg m$^{-3}$. Over the flat bottom, each wave travels from right to left.
Table 1. Experimental parameters for the numerical simulations over a flat bottom. Subscript \(sep\) denotes values computed at the separation point and \(VS\) indicates the occurrence of vortex shedding. The horizontal coordinate \(x\) is zero at the wave trough (figure 1). \(P_{sep}\) is the pressure gradient non-dimensionalized by \(\rho_0 g'\); \(Re_{\theta sep}\) is the momentum-thickness Reynolds number at the separation point. The total depth is \(H = 0.15\) m for all simulations.

<table>
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<tr>
<th>No.</th>
<th>(d_{005}) (m)</th>
<th>(h_1) (m)</th>
<th>(a) (m)</th>
<th>(\frac{a + h_1}{H})</th>
<th>(L_w) (m)</th>
<th>(\frac{x_{sep}}{L_w})</th>
<th>(\frac{w_{max}}{c_0})</th>
<th>(Re_{\theta sep})</th>
<th>(P_{sep})</th>
<th>(\frac{a}{H}) ((\times 10^5))</th>
<th>(Re_w)</th>
<th>(VS)</th>
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In a frame of reference moving with the wave phase speed. For each stratification the wave amplitude was increased up to \(a \approx \frac{(h_2 - h_1)}{2}\), at which point the ISW trough starts broadening and the pressure gradient does not change significantly. The Reynolds number was increased by decreasing the viscosity. By positioning 8–10 vertical grids within the separated boundary layer, we resolve the bottom boundary layer. The horizontal grid spacing was 1.25 times that in the vertical direction. Results were grid-independent at these resolutions. The Prandtl number \((Pr)\) was set to 1. The \(\sigma\)-coordinate system increased the grid resolution as the waves travelled up the sloping boundary. For the most viscous simulations \((\nu = 10^{-6} m^2 s^{-1})\), 150 \(\sigma\)-layers were used and \(Pr\) was set to 1. The \(\sigma\)-coordinate system increased the grid resolution as the waves travelled up the sloping boundary. For the most viscous simulations \((\nu = 10^{-6} m^2 s^{-1})\), 150 \(\sigma\)-layers were used and the horizontal spacing was 2.5 times the vertical spacing away from the slope. For \(\nu = 2 \times 10^{-7} m^2 s^{-1}\) and \(5 \times 10^{-8} m^2 s^{-1}\) four times the number of grid points were used in each direction. The results were grid-independent at these resolutions. The simulation parameters associated with these simulations are presented in table 2.
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\[ S = 0.1 \]

<table>
<thead>
<tr>
<th>( h ) (m)</th>
<th>( d_{pyc} ) (m)</th>
<th>( a ) (m)</th>
<th>No.</th>
<th>( Re_{sep} )</th>
<th>( P_{sep} )</th>
<th>( \frac{w_{max}}{c_0} )</th>
<th>BKE/ISWE (%)</th>
<th>( \nu = 5 \times 10^{-8} ) m(^2) s(^{-1})</th>
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\[ \nu = 5 \times 10^{-8} \] m\(^2\) s\(^{-1}\)

\[ \nu = 2 \times 10^{-7} \] m\(^2\) s\(^{-1}\)

\[ \nu = 10^{-6} \] m\(^2\) s\(^{-1}\)

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**Table 2.** Experimental parameters for the numerical simulations over sloping bottom boundaries. Subscript \( sep \) denotes parameters computed at the separation point. \( P_{sep} \) is the pressure gradient non-dimensionalized by \( \rho_0 g \) and \( Re_{sep} \) is the momentum-thickness Reynolds number at the separation point. The maximum vertical velocity \( (w_{max}) \), kinetic energy within the separation bubble and shed vortices (BKE), and total energy of the wave (ISWE) (Lamb & Nguyen 2009, equations 10 and 14 therein respectively) were calculated when the first vortices were shed. Here, \( c_0 \) is the linear phase speed of the offshore wave and the total depth is \( H = 0.15 \) m for all simulations.
Figure 2. Evolution of separation bubble instability and subsequent vortex shedding (simulation 12F). Shaded contours show the vorticity field forming two parallel vortex sheets that eventually turn into shed vortices, distorting the separation bubble and lifting off the bed; (a–d) correspond to non-dimensional time $t^* = t c_0 / H = 1.86, 2.51, 2.95$ and 4.59 respectively, where $t$ is time. The wave is propagating leftward.

4. Results

4.1. Separation bubble dynamics over a flat bottom

Flow separation occurs as the wave travels over a no-slip boundary, forming a reverse flow (jet) in the deceleration region beneath the rear face of the wave (e.g. figure 1; Diamessis & Redekopp 2006; Carr et al. 2008). The separation point (where $du/dz = 0$) is located between $0.24L_w$ and $0.4L_w$ from the wave trough toward the wave rear face (table 1).

The time evolution of the separated flow and the occurrence of global instability, beneath the wave, can be observed in the vorticity field (figure 2); here the wave is travelling from right to left (figure 3, corresponding to the same time instant as figure 2d). Figure 2(a) shows the separation bubble to be composed of two contiguous parallel vortex sheets with opposite sign, where the lower sheet represents the boundary layer associated with the reverse flow and the upper one is due to the separated flow overlying it. As time evolves, both vortex sheets grow vertically and spread horizontally in the direction opposite to that of wave propagation (figure 2b). For a stable boundary layer, they grow gradually until they achieve steady state. During growth, they can also become globally unstable, leading to vortex shedding, where eddy structures lift from the vortex sheets (figures 2c and 2d) distort their shape and travel in the direction of wave propagation, while progressing slower than the wave phase speed (Carr et al. 2008). Shedding occurs intermittently, alternating with
Vortex shedding beneath internal solitary waves of depression

Figure 3. Expanded view of vorticity field corresponding to figure 2(d). Thick lines show density contours with density jump of 5 kg m\(^{-3}\) across each contour. The shed vortices beneath the rear face have lifted to 27% of the total depth at this particular time.

calm periods (Stefanakis 2010), during which the bubble temporarily reconstructs itself until the next set of vortex ejections begins (figure 2(d)).

The vortices are much smaller than the ISW (figure 3), the difference in scale increasing with Reynolds number (Aghsae et al. 2010), and are observed to rise into the water column from the bed (e.g. Diamessis & Redekopp 2006). In a three-dimensional flow, the eddies may degenerate more rapidly than in these two-dimensional simulations due to secondary three-dimensional lateral instabilities as well as transition to turbulence at sufficiently high Reynolds numbers that cannot be modelled in our two-dimensional simulations (Jones, Sandberg & Sandham 2008). Therefore, the focus of this study is on the initial evolution of the instabilities where the wave-induced bottom boundary layer remains two-dimensional in the absence of any external three-dimensional perturbations. The secondary characteristics of the flow will be the subject of future work.

Two main parameters contribute to the stability characteristics of the separation bubble; first, the strength of the flow separation, which is measured with the adverse pressure gradient \((dP/dx > 0)\) and second, a Reynolds number associated with separation \((Re_{\theta_{sep}})\). For each stratification these parameters will be largest for broad-crested waves, which have the maximum possible wave amplitude for a given stratification (see §1), leading to the maximum possible lower-layer velocity \(U_2\) (2.6). Vortex shedding is more likely for these types of waves than for narrow-crested waves, consistent with our results (table 1; 7F versus 8F).

4.2. Separation bubble dynamics over sloping boundaries

For an ISW shoaling over a sloping bottom boundary, the associated flow field, boundary layer and adverse pressure gradient characteristics change continuously as the wave shoals. When the wave trough approaches the bottom boundary, the horizontal velocity beneath the wave increases and the rear face of the wave steepens, increasing both the magnitude of the horizontal velocity gradient and, according to (2.5), the adverse pressure gradient.
Eventually the adverse pressure gradient becomes sufficiently strong that vortex shedding occurs (e.g. figure 4). This process is characterized by the two parallel vortex sheets bending toward the vertical and detaching from the bottom boundary (figures 4a and 4b). Eddies shed from the bottom boundary (figures 4c and 4d), are similar to the flat bottom simulations. A distinguishing characteristic of the separation bubble instability over a sloping bottom boundary, relative to a flat bottom, is the distortion (vertical bending and stretching) of the separation bubble. This is probably due to the fact that the pycnocline is much closer to the bottom, in which the adverse pressure gradient continuously increases as the wave shoals. The eddies play an important role in regulating the ISW breaking mechanism (Sveen et al. 2002; Aghsaee et al. 2010) as they can eventually reach the pycnocline and lead to its instability (i.e. ISW breaking; figure 5 corresponding to the same time instant as figure 4d).

The importance of vortex shedding to ISW dynamics over sloping and flat bottoms, can be quantified by the ratio of the kinetic energy in the separation bubble and eddies (calculated by integrating the kinetic energy density over the eddy area) to the total ISW energy (calculated by integrating kinetic energy and available potential energy densities over the total domain). As an ISW shoals, the energy transfer from the ISW to the separated flow increases from $\sim 1\text{–}10\%$ at the initiation of instability (e.g. figure 4b) to $\sim 4\text{–}15\%$ at the time of first shedding (e.g. figure 4c) (table 2). This leads to the average energy transfer rate of $2\text{–}6\%\text{ s}^{-1}$. Over mild slopes, wave breaking by plunging is delayed, resulting in energy flux to the eddies until they have sufficient strength to influence the breaking mechanism, causing collapsing breaking to occur (Aghsaee et al. 2010). Over flat bottoms, $<\sim 1\%$ of the ISW energy is transferred.
Vortex shedding beneath internal solitary waves of depression

The shed vortices beneath the rear face have lifted high enough to make the pycnocline unstable, leading to wave breaking.

to the separated flow and eddies when the first cluster of vortices are shed, with an average transfer rate of order 0.01% s\(^{-1}\), and wave dynamics are not affected.

4.3. Threshold of the vortex shedding

To separate stable from unstable ISW boundary layers, we draw an analogy with aerodynamics research (e.g. Gaster 1969; Pauley et al. 1990), where bursting criteria for separation bubbles have been introduced in terms of the momentum-thickness Reynolds number at the separation point (\(Re_{\theta_{sep}}\)) and a dimensionless pressure gradient parameter (\(\tilde{P} = \theta_{sep}^2 / \nu \times (dU/dx)\)).

To model the incipient threshold for vortex shedding, we consider the inviscid deceleration rate at the bottom (\(dU/dx\) which can be obtained from solution of Euler equations), which controls the bubble shape (Gaster 1969; Pauley et al. 1990). In our viscous simulations \(dU/dx\) may be computed from the free-stream velocity field above the bubble. Figure 6 shows the wave-induced horizontal velocity, horizontal velocity gradient and the pressure gradient distribution at the separation point (point of streamline detachment where \(\partial u/\partial z = 0\)) for simulation 6F for both viscous and inviscid cases (all measured at the same location in the inviscid simulation). The free-stream values are a reasonable measure of inviscid values when the bubble size is \(\ll H\). This is particularly true for high-Reynolds-number simulations.

Following Gaster (1969) and Pauley et al. (1990) we plot their proposed pressure gradient parameters \(\tilde{P} = \theta_{sep}^2 / \nu \times (dU/dx)_{sep}\) and \(\tilde{P}_{\text{max}} = \theta_{sep}^2 / \nu \times (dU/dx)_{\text{max}}\) versus \(Re_{\theta_{sep}}\) (figures 7a and 7b, respectively). Here \((dU/dx)_{\text{max}}\) is the maximum (negative) value of the inviscid horizontal velocity gradient along the bubble. Our results (figure 7a) do not agree with the instability criterion proposed by Gaster (1969). He employed an aerofoil, mounted above a flat plate, to produce an adverse pressure gradient on the surface of the plate; thus the separation was driven by the aerofoil geometry and his bursting criterion was only valid for his experimental setup. In the present study separation is induced by the curvature of the flow in the ISW.
and has a much higher vertical to horizontal aspect ratio compared to aerodynamics studies. In comparison to Pauley et al. (1990), all of the unstable cases (leading to vortex shedding) lie above $-\tilde{P}_{\text{max}} \approx 0.09$ (figure 7b), smaller than their criterion $-\tilde{P}_{\text{max}} > 0.24$ and there are two stable bubbles modelled to be in the unstable region. These differences can be due to differences in the flow field driving the adverse pressure gradient. Pauley et al. (1990) used a local suction port on top of a horizontal channel to generate an adverse-pressure-gradient region.
We find that stable and unstable separation bubbles can be categorized according to the free-stream pressure gradient, non-dimensionalized by \( \rho_0 g'(P_{x\text{sep}} = (1/\rho_0 g') (dP/dx)_{\text{sep}}) \), and \( Re_{\theta\text{sep}} \) (figure 8). The data shown are taken at the time when instability first occurred (instability waves; e.g. figure 2b) in the vorticity field. Here, we have incorporated our results over both flat and sloping bottom boundaries.

The onset of instability for a particular ISW occurs as follows. For an ISW travelling over a flat bottom, the pressure gradient external to the bubble is constant, and the bubble grows under its influence until steady state is reached (stable) or vortex shedding begins (unstable). If \( (Re_{\theta\text{sep}}, P_{x\text{sep}}) \) is above the threshold of instability curve (figure 8; \( P_{x\text{sep}} = Re_{\theta\text{sep}}^{-0.51} \), empirically defined based on our numerical results), the growing separation bubble becomes unstable to vortex shedding.

Over sloping bottom boundaries, the bubble grows within a continuously increasing adverse-pressure-gradient region until it becomes unstable to vortex shedding. All shoaling wave simulations lie above the stability curve (figure 8) because the rates of increase of \( P_{x\text{sep}} \) and \( Re_{\theta\text{sep}} \) are greater than the separation bubble growth rate. The bubble should grow sufficiently for the vortex shedding to start, indicating a time lag between variation in boundary layer characteristics (pressure gradient and momentum-thickness Reynolds number) relative to bubble growth. \( P_{x\text{sep}} \) and \( Re_{\theta\text{sep}} \) increase more rapidly over steeper slopes causing these data to lie above those for mild slopes.

A shoaling wave might experience polarity change (leading to fission), forward overturning (plunging) or surging while shed vortices reaching the pycnocline can lead to collapsing (Aghsae et al. 2010). The latter case is shown in simulation 8A \( (S = 0.05) \), where shedding begins at \( x = 1.35 \) m (figure 4b) and breaking ultimately occurs at \( x = 1.50 \) m (figure 5). The distance between the shedding and breaking locations increases with decreasing boundary slope. Over steeper slopes the wave trough approaches the bottom boundary more rapidly, the rear face of the wave steepens faster and there is a greater rate of increase in the adverse pressure gradient. For very gentle slopes \( (S \ll 0.05) \), as are commonly found in oceanic flows, fission may occur, after vortex shedding. The wave slope and boundary slope parameter range over which this process will predominate has been identified (see Aghsae et al. 2010, their figure 6). The boundary layer dynamics of the resulting waves of elevation has
Figure 9. Stability diagram in non-dimensional wave amplitude \((a/H)\) versus ISW Reynolds number \((Re_w = c_0 H/\nu)\) space. The curved line represents the critical wave amplitude as \(a_{cr} = 0.5 (Re_w/10^4)^{-0.012}\) from Diamessis & Redekopp (2006). Laboratory data are from Carr et al. (2008).

been considered elsewhere (e.g. Stastna & Lamb 2008). Since the parameters \(P_{x sep}\) and \(Re_{\theta sep}\) cannot be feasibly measured in field experiments, we propose a more practical criterion to predict the occurrence of vortex shedding in § 5.

5. Discussion

5.1. Vortex shedding criterion

Generalizing our results to determine a criterion to predict vortex shedding beneath ISWs which can be readily computed from field data is a first step in determining where bottom boundary layer instability may occur in geophysical flows, potentially leading to localized sediment re-suspension. We find that the stability boundary for vortex shedding proposed by Diamessis & Redekopp (2006) – as discussed in § 1 – is inconsistent with our data, as it was with laboratory data of Carr et al. (2008) (figure 9). Overall, the results are mutually inconsistent: the unstable observations (leading to vortex shedding) from Carr et al. (2008) and 50% of our unstable simulations lie in the stable region predicted by Diamessis & Redekopp’s (2006) criterion. Besides the inappropriate choices of parameters they used for the pressure gradient and Reynolds number, some of these inconsistencies may result from Diamessis & Redekopp (2006) forcing KdV waves to have \(a/H\) as large as 0.3–0.6. Weakly nonlinear KdV theory cannot represent such large waves and models with higher-order of nonlinearity are required (Carr et al. 2008).

To generalize our results we estimate \(Re_{\theta sep} = U_{\theta sep}/\nu\) and \(P_{x sep} = (1/\rho_0 g') (dP/dx)_{sep}\) in terms of parameters that are more readily measured in the field. From (2.5), we find \((U + c) (dU/dx)_{sep} \approx -(1/\rho_0) (dP/dx)_{sep}\) where \(U\) and \(dU/dx\) are free-stream values above the boundary layer at the separation point (figure 10). \(U + c\) represents the fluid velocity in a frame of reference moving with wave speed \(c\), in which the flow evolves on a slow time scale before instability occurs. The phase speed associated with a shoaling wave is not constant, due to the change in water depth. The phase speed was approximated, at the bubble location, to equal the progressive speed of the bubble core at the initiation of boundary layer instability. Owing to uncertainties
in the relation between this estimate and the actual phase speed, scatter in the data over sloping boundaries is not surprising (figure 10).

The horizontal velocity varies from its maximum at the wave trough to zero at the wave shoulder (figure 1) and so \( U_2/L_w \approx -dU/dx \) at the separation point (figure 11a), where \( U_2 \) is the free-stream horizontal velocity beneath the wave trough. The
non-dimensionalized pressure gradient at the separation point correlates with

\[ P_{\text{sep}} \approx P_{\text{ISW}} = (U_2 + c) \frac{U_2}{L_w g'} \]  

(5.1)

(figure 11b), which is more readily measured in the field.

Looking for an estimation for \( \text{Re}_{\text{sep}} \), we realize that it correlates with the momentum-thickness Reynolds number under the wave trough (\( \text{Re}_{\theta t} = U_2 \theta_t / \nu \); figure 11c) where \( \theta_t \) is the momentum thickness under the wave trough. Thus we only need to parameterize \( \theta_t \), where we follow Schlichting (1979) and balance friction with inertia in a steady-state flow. This gives \( U_2 / \theta_t^2 \sim \rho (U_2 + c) U_2 / L_w \) leading to \( \theta_t \approx \sqrt{\nu L_w / (c + U_2)} \) as a measure of boundary layer thickness beneath the ISW trough (figure 11d) and

\[ \text{Re}_{\theta \text{sep}} \approx \text{Re}_{\theta t} \approx \text{Re}_{\text{ISW}} = U_2 \sqrt{\frac{L_w}{\nu (U_2 + c)}} \]  

(5.2)

can be used as a measure of the boundary-layer Reynolds number. Combining our data and the Carr et al. (2008) laboratory data in \( P_{\text{ISW}} \) versus \( \text{Re}_{\text{ISW}} \) space (figure 12), we find a suitable parameterization for vortex shedding over flat bottom boundaries. Since the wave parameters (wave amplitude, wavelength and velocity field) change as an ISW shoals, it is not possible to predict where shedding starts over sloping boundaries.

Carr et al. (2008) did not report the horizontal velocity at the wave trough, \( U_2 \), which we calculated using (2.6). \( L_w \) was obtained from (2.4), where the volume of fluid displaced by the wave was taken to be equal to the fluid volume released from behind the gate-type wavemaker (M. Carr, personal communication). Nearly all of the released fluid forms a single ISW of depression (Michallet & Ivey 1999; Carr et al. 2008) thus \( L_w = V_{\text{step}} / B \) where \( V_{\text{step}} \) is the volume of upper-layer fluid released from behind the gate and \( B \) is the tank width.

A best-fit power law to the data yields a vortex shedding criterion of

\[ P_{\text{ISW}} = \frac{50}{\text{Re}_{\text{ISW}}^{1.1}} \]  

(5.3)
Vortex shedding beneath internal solitary waves of depression

Wave | $c$ (m s$^{-1}$) | $U_2$ (m s$^{-1}$) | $L_w$ (m) | $Re_{ISW}$ | $P_{ISW}$
---|---|---|---|---|---
1: Vlasenko, Brandt & Rubino (2000), North of the strait of Messina | 1.00 | 0.20 | 300 | 3162 | 0.042
2: Vlasenko et al. (2000), South of the strait of Messina | 1.20 | 0.10 | 200 | 1240 | 0.019
3: Bourgault & Kelley (2003), St. Lawrence River | 0.70 | 0.25 | 124 | 2845 | 0.040
4: Moum et al. (2003), Oregon Shelf | 0.60 | 0.15 | 150 | 2147 | 0.040
5: Orr & Mignerey (2003), South China Sea wave B | 0.99 | 0.25 | 170 | 2901 | 0.047
6: Quaresma et al. (2007), Portuguese shelf | 0.34 | 0.25 | 110 | 3414 | 0.140
7: Shroyer et al. (2009), wave Tonya, New Jersey Coast | 0.73 | 0.25 | 200 | 3571 | 0.018

**TABLE 3.** Parameters calculated from published field observations used to test the criterion shown in figure 12.

(figure 12). This encompasses all of our unstable simulations as well as ~18% of the unstable experiments from Carr et al. (2008). Possible reasons for the discrepancy between our results and those of Carr et al. (2008) are: (a) the laboratory observed instabilities are primarily three-dimensional; (b) errors in the estimation of $U_2$ and $L_w$; (c) the lack of finite-amplitude perturbations in the numerical solution from which instabilities will grow through the phenomenon of subcritical transition (Stefanakis 2010); and (d) existence of an oscillatory background barotropic flow in lab experiments, generated during the gate release, which may have influenced vortex generation (M. Carr, personal communication).

Our two-dimensional domain limits the extension of (5.3) to higher Reynolds numbers where the flow may be expected to become three-dimensional and turbulent. For his aerofoil-plate apparatus, Gaster (1969) mentioned that the boundary layer will be laminar if the displacement-thickness Reynolds number (where displacement thickness is $\delta^* = \int_0^\infty (1 - u/U) dz$) is less than ~1000. Applying this limit to our data suggests that the boundary layer beneath ISWs is laminar when $Re_{ISW} < \sim 1200$. A turbulent boundary layer will require a stronger pressure gradient to undergo flow separation and possible global instability (see § 2), potentially shifting the curve (5.3) to higher values. However, the leading edge of the ISW has a favourable pressure gradient, which can suppress ambient turbulence and re-laminarize the boundary layer upstream of the separation point (e.g. Bourassa & Thomas 2009). For these reasons we do not extend (5.3) beyond $Re_{ISW} > \sim 1200$ (figure 12). Field data and high-Reynolds-number three-dimensional simulations are needed to investigate stability in this regime.

To apply the proposed parameterization to field conditions, requires *in-situ* observations of the horizontal current structure beneath the wave trough, the ISW wavelength and the ISW phase speed. These parameters can be computed from typical deployed instruments, including an acoustic Doppler current profiler (for the velocity field) and thermistor chains (for the wavelength and phase speed). We computed $P_{ISW}$ and $Re_{ISW}$ from published field observations (table 3 and figure 12) and all waves lie in the turbulent region.

The stability characteristics of the observed waves were not reported. Of the waves shown, only Quaresma et al. (2007), figure 15 therein, reported concurrent sediment
suspension observations, beneath ISWs of depression (table 3; wave 6 representing their leading wave). Although that wave lies in the turbulent region, it has induced a strong pressure gradient suggesting that global instability is leading to re-suspension (figure 12). The stability characteristics of these waves will also be influenced by bottom roughness and background flow, which have not been investigated herein (e.g. Stastna & Lamb 2008; Carr, Stastna & Davies 2010).

5.2. Application to three-dimensional flows

To apply the low-Reynolds-number two-dimensional results presented herein to field observations requires consideration of Reynolds number effects, including potential three-dimensionality of the flow as Reynolds number increases. We first consider three-dimensional effects at low Reynolds number. From direct numerical simulations of flow separation on an aerofoil, Jones et al. (2008) found that the initial vortex shedding phenomenon retains its predominantly two-dimensional structure, with three-dimensional secondary perturbations appearing after the first cluster of eddies have been shed. Similarly, laboratory observations of flow separation beneath shoaling ISWs (Boegman & Ivey 2009) also show the initial stages of instability to be two-dimensional, with coherent spanwise vortex tubes across the flume width.

Direct comparisons between the laboratory results and the shoaling ISW simulations presented herein (Lamb, Boegman & Ivey 2005) show the initial stages of wave breaking to be well simulated, including the formation of two boluses (figure 13b,c), which occur as a result of instability of the separated boundary layer flow beneath the shoaling wave (see Aghsae et al. 2010). The inability of the two-dimensional model to capture lateral three-dimensional secondary instability is clear during the latter stages of wave breaking, (figure 13d), where the plunging wave crest and vortex structures persist in the two-dimensional simulations. Global instability and sediment re-suspension (Boegman & Ivey 2009) occur prior to these secondary three-dimensional effects.

5.3. Implications for sediment re-suspension

To assess the potential for ISW-induced sediment re-suspension, we examine the simulated shear stress fields during boundary layer instability. The contribution of bed shear stress to sediment re-suspension is typically assessed using the Shields parameter (e.g. Drake & Cacchione 1986; Quaresma et al. 2007). For a given grain size, if the Shields parameter reaches a threshold value, the bed shear stress is capable of incipient sediment motion leading to bed-load transport, but not necessarily sediment re-suspension. We observe the instantaneous bed shear stress increasing by a factor of 2.4 on the flat bottom after global instability occurs and the first vortices are shed (figure 14a; simulation 12F), close to the factor of 2.7 observed by Stastna & Lamb (2002) under ISWs of elevation; while an increase up to a factor of 5 was reported by Diamessis & Redekopp (2006) under their KdV waves. Over sloping topography (figure 14b; simulation 1A) the shear stress increases by a factor of 7 due to global instability.

Boegman & Ivey (2009) argued that elevated near-bottom vertical velocities are also required to suspend the motile sediments in the water column. We observed elevated vertical velocities associated with vortex shedding, which over flat bottoms can ascend to 10% of the total depth from the bed in the initial two-dimensional shedding stage (figure 15). This value can increase up to a maximum of 33% at later stages (simulation 12F), and in simulations of Stastna & Lamb (2008) was close to 25%. These are greater than the 17% achieved in the laboratory (Carr et al. 2008), where
FIGURE 13. Comparison of two-dimensional model results to experimental observations of shoaling ISWs from Boegman *et al.* (2005) where in each pair of figures, panels (i) and (ii) represent density field associated with numerical simulation and lab experiment respectively. Time increases from (a) to (d). Further details of the simulations and experimental comparison can be found in Lamb *et al.* (2005).
FIGURE 14. Temporal increase of instantaneous bed shear stress (scaled by $\mu_2 = \nu \rho_2 = 5.2 \times 10^{-5} \text{ kg m}^{-1} \text{ s}^{-1}$) due to vortex shedding: (a) simulation 12F over a flat bottom. Solid grey line and solid black line correspond to $t^* = tc_0/H = 1.42, 2.19$, respectively, and dashed grey line corresponds to $t^* = 2.95$, when the first vortices have been shed. (b) Simulation 1A over a sloping bottom. Solid grey line and solid black line correspond to $t^* = tc_0/H = 12.38, 12.66$ respectively and dashed grey line correspond to $t^* = 13.07$, when the first vortices have been shed.

FIGURE 15. Instantaneous non-dimensional vertical velocity $w/c_0$ (shaded) and vorticity field contours (black contours) for simulation 5F at $t^* = tc_0/H = 2.42$. Elevated vertical velocities are due to vortex shedding.

Secondary three-dimensional effects are likely to lead to more rapid degeneration of the shed vortices as they ascend through the water column (e.g. figure 13). ISW-induced re-suspension has been observed, in the coastal ocean, to ascend as high as 50% of an 80 m water column (Quaresma et al. 2007).

The maximum vertical velocities associated with the shed eddies, over a flat bottom, at the initiation of the two-dimensional instability are $0.1 < w_{\text{max}}/c_0 < 0.7$ (table 1),
consistent with Diamessis & Redekopp (2006) who found \( w_{\text{max}} \to c_0 \). Carr et al. (2008) observed a narrower range of much smaller maximum vertical velocities \( 0.02 < w_{\text{max}}/c_0 < 0.06 \). These differences between simulations and experiments are likely to be Reynolds number effects; \( w_{\text{max}}/c_0 \) scales with the momentum-thickness Reynolds number and adverse pressure gradient (tables 1 and 2). If three-dimensional effects are neglected, Carr et al. (2008) most probably observed weak vortex shedding leading to small vertical velocities because they covered a narrow range of relatively small Reynolds numbers (figure 12).

For shoaling waves, the larger the vertical velocities (\( w_{\text{max}} \to 1.81c_0 \)), higher bed shear and greater energy transfer to the separation bubble (discussed at § 4), compared to flat bottom simulations, suggests the important role of shoaling ISWs in bed sediment re-suspension along sloping regions of lakes and oceans. Boegman & Ivey (2009) directly correlated the near-bed non-dimensional Reynolds stress \( \tau_R = \tilde{u}\tilde{w}/c_0^2 \) to re-suspension during instability of shoaling waves, where \((\tilde{u}, \tilde{w})\) are the vortex-coherent fluctuating horizontal and vertical velocity fields resulting from the induced near-bed vortices, respectively, relative to the mean flow. This value is smaller than the maximum simulated values of 0.10 and 0.25 over flat (12F, figure 16a) and sloping (1A \( S = 0.1 \), figure 16b) bottoms, respectively, indicating that the two-dimensional model captures realistic near-bed stresses capable of re-suspension.

6. Conclusions

We have investigated laminar boundary layer instability and subsequent vortex shedding beneath ISWs over both flat and sloping bottoms. A threshold for vortex shedding was found to be dependent on a non-dimensional pressure gradient and the momentum-thickness Reynolds number at the separation point. Over flat bottoms, vortex shedding was more probable beneath broad-crested ISWs, which have steeper wave slopes, greater lower-layer velocities and consequently stronger adverse pressure gradients, relative to narrow-crested ISWs which have smaller amplitudes. Vortex shedding always occurs beneath shoaling ISWs owing to the continuously increasing adverse pressure gradient associated with nonlinear steepening and strengthening
near-bottom currents as the waves shoal. We have shown that the non-dimensionalized wave amplitude and total-depth Reynolds number are not suitable for separating waves with stable and unstable boundary layers. Combining our results with published laboratory data, we proposed a vortex shedding criterion, which is a function of the boundary-layer Reynolds number and non-dimensional pressure gradient parameter (5.3) associated with an ISW. These parameters can be readily measured in field experiments.

The simulated vertical velocities and near-bed Reynolds stresses were found to be sufficient to re-suspend bed sediments, in comparison to published laboratory results. However, both our results and prior works fail to consider the effects of large Reynolds number and the presence of a pre-existing turbulent bottom boundary layer on the adverse-pressure-gradient-driven dynamics and re-suspension. This requires further investigation and may be conducted using large-scale three-dimensional simulations (e.g. Barad & Fringer 2010) and/or laboratory experiments. Future work should also consider bottom roughness and sediment grain size. Field experiments are ultimately required to validate and test the fidelity of the results presented herein.

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